

3714 JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Goins et al.
Appl. No.: 09/967,267
Conf. No.: 5216
Filed: September 28, 2001
Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL
REFRACTIVE LIGHT DISPLAY
Art Unit: 3714
Examiner: A. Capron
Docket No.: 0112300-860

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the reference cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent. The reference was cited in an Office Action dated January 24, 2005, which is associated with corresponding Canadian Patent Application No. 2,405,357. A copy of the Office Action is attached.

Please note that the references cited in the Supplemental Information Disclosure Statement filed on May 26, 2004 have not yet been considered. Applicants have attached a copy of the Supplemental Information Disclosure Statement and the stamped postcard for your review. Applicants respectfully request that the references cited in the Supplemental Disclosure Statement filed on May 26, 2004 be considered for the above-identified patent application.

FOREIGN PATENT DOCUMENT

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
GB 2 262 642 A	December 18, 1992	United Kingdom

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

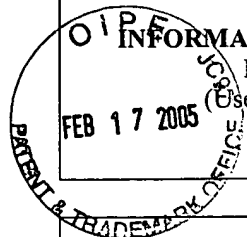
BY

A handwritten signature in cursive script, appearing to read "Adam H. Masia", is written over a horizontal line.

Adam H. Masia
Reg. No. 35,602
P.O. Box 1135
Chicago, Illinois 60690
Phone: (312) 807-4284

Dated: February 14, 2005

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 112300-860	Application No. 09/967,267
	Applicant Goins et al.	
	Filing Date September 28, 2001	Group 3714



U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
		GB 2 262 642 A	December 18, 1992	United Kingdom				

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112300-860

In Re Application Of:

Goins et al.
FEB 7 2005

Application No.

09/967,267

Filing Date

September 28, 2001

Examiner

A. Capron

Customer No.

29159

Group Art Unit

3714

Confirmation No.

5216

Title: **GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☒ the statement specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.87(b) or 1.97(c))

Docket No.
112300-860

In Re Application: Goins et al.

FEB 17 2005

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/967,267	September 28, 2001	A. Capron	29159	3714	5216

Title: **GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

February 14, 2005

(Date)

Signature of Person Mailing Correspondence
Heather Foster

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Signature

Dated: February 14, 2005

Adam H. Masia
Reg. No. 35,602
BELL, BOYD & LLOYD
P.O. BOX 1135
Chicago, IL 60690
Phone (312) 807-4284

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
0112300-860

In Re: Application Of: Goins et al.

FEB 17 2005

Application No. 09/967,267	Filing Date September 28, 2001	Examiner A. Capron	Customer No. 29159	Group Art Unit 2714	Confirmation No. 5216
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Invention: **GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL RETRACTIVE LIGHT DISPLAY**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

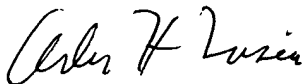
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: February 14, 2005

Adam H. Masia (Reg. No. 35,602)
BELL, BOYD & LLOYD LLC
P.O. box 1135
Chicago, IL 60690-1135
Phone: (312) 807-4284

Certificate of Mailing by First Class Mail

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

February 14, 2005

(Date)

Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Correspondence

CC:

In re Patent Application of: Jamie Goins, et al.

**GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL
REFRACTIVE LIGHT DISPLAY**

Docket No.: 0112300-860

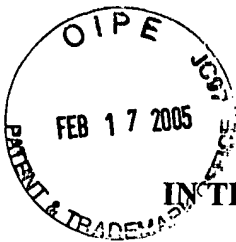
Appl. No.: 09/967,267

Filed: September 28, 2001

On the date stamped hereon the U.S. Patent and Trademark Office hereby
acknowledges receipt of the following:

1. Transmittal of Information Disclosure Statement;
2. Statement Under 37 CFR 1.97(e)
3. Supplemental Information Disclosure Statement;
4. Information Disclosure Citation – PTO 1449;
5. References Cited; and
6. A postcard which we ask you to date stamp and return.

Mailed: May 26, 2004 AHM



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jamie Goins et al.
Appl. No.: 09/967,267
Filed: September 28, 2001
Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL
REFRACTIVE LIGHT DISPLAY
Art Unit: 3711
Examiner: Carmen D. White
Docket No.: 0112300-860

Honorable Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,580,055	December 3, 1996	Hagiwara
4,422,719	December 27, 1983	Orcutt
5,752,881	May 19, 1998	Inoue

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
GB 2 274 010 A	July 6, 1994	Great Britain
GB 2 028 561 A	March 5, 1980	Great Britain
DE 43 11 018 A1	October 10, 1994	Denmark

DE 4311018 A1 is submitted without a translation. This reference is submitted herewith because it was cited in the Search Report conducted for the corresponding European Application. If the Examiner needs a translation, applicants respectfully request the Examiner contact the undersigned.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0112300-860	Application No. 09/967,267
	Applicant Jamie Goins et al.	
	Filing Date September 28, 2001	Group 3711

O I N F O R M A T I O N
 FEB 17 2005
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		5,580,055	December 3, 1996	Hagiwara			
		4,422,719	December 27, 1983	Orcutt			
		5,752,881	May 19, 1998	Inoue			

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document	Publication	Country	Class	Subclass	Translation	
		Number	Date				Yes	No
		GB 2 274 010 A	July 6, 1994	Great Britain				
		GB 2 028 561 A	March 5, 1980	Great Britain				
		DE 43 11 018 A1	October 10, 1994	Denmark				

Examiner's Initials		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

In re Patent Application of: Jamie Goins, et al.
**GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL
REFRACTIVE LIGHT DISPLAY**

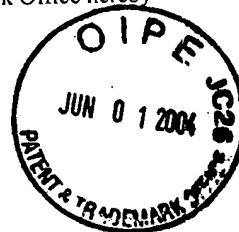
Docket No.: 0112300-860

Appl. No.: 09/967,267

Filed: September 28, 2001

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1. Transmittal of Information Disclosure Statement;
2. Statement Under 37 CFR 1.97(e)
3. Supplemental Information Disclosure Statement;
4. Information Disclosure Citation – PTO 1449;
5. References Cited; and
6. A postcard which we ask you to date stamp and return.



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